AUN-QA Factsheet [1]

▶ Year Established:

Initiated in 1998 by the 4th AUN Board of Trustees Meeting[2].

▶ Primary Aim:

To develop Quality Assurance System and Mechanisms to uplift higher education standard among ASEAN Countries.

▶ Recognitions:

- Standard QA system practiced within the AUN Member Universities and AUN-QA Associate Members.

- Officially Recognized by the ASEAN Plus Three Senior Officials Meeting on Education (SOM-ED+3) and ASEAN Plus Three Education Ministers Meeting (ASED+3)[3] .

- Universities in many countries in ASEAN subscribes to AUN-QA through the demands on AUN-QA Quality Programme Assessment and AUN-QA Training (Tier 1 and 2). AUN-QA serves these demands for AUN Members, AUN-QA Associate Members, and non-AUN Members in ASEAN.

- Ministry of the Plus Three Countries recognize AUN-QA as the major Quality System and Standard practiced within ASEAN[4].

- EU through the ASEAN-EU Official Channel as the only QA System practiced at the university level in ASEAN.
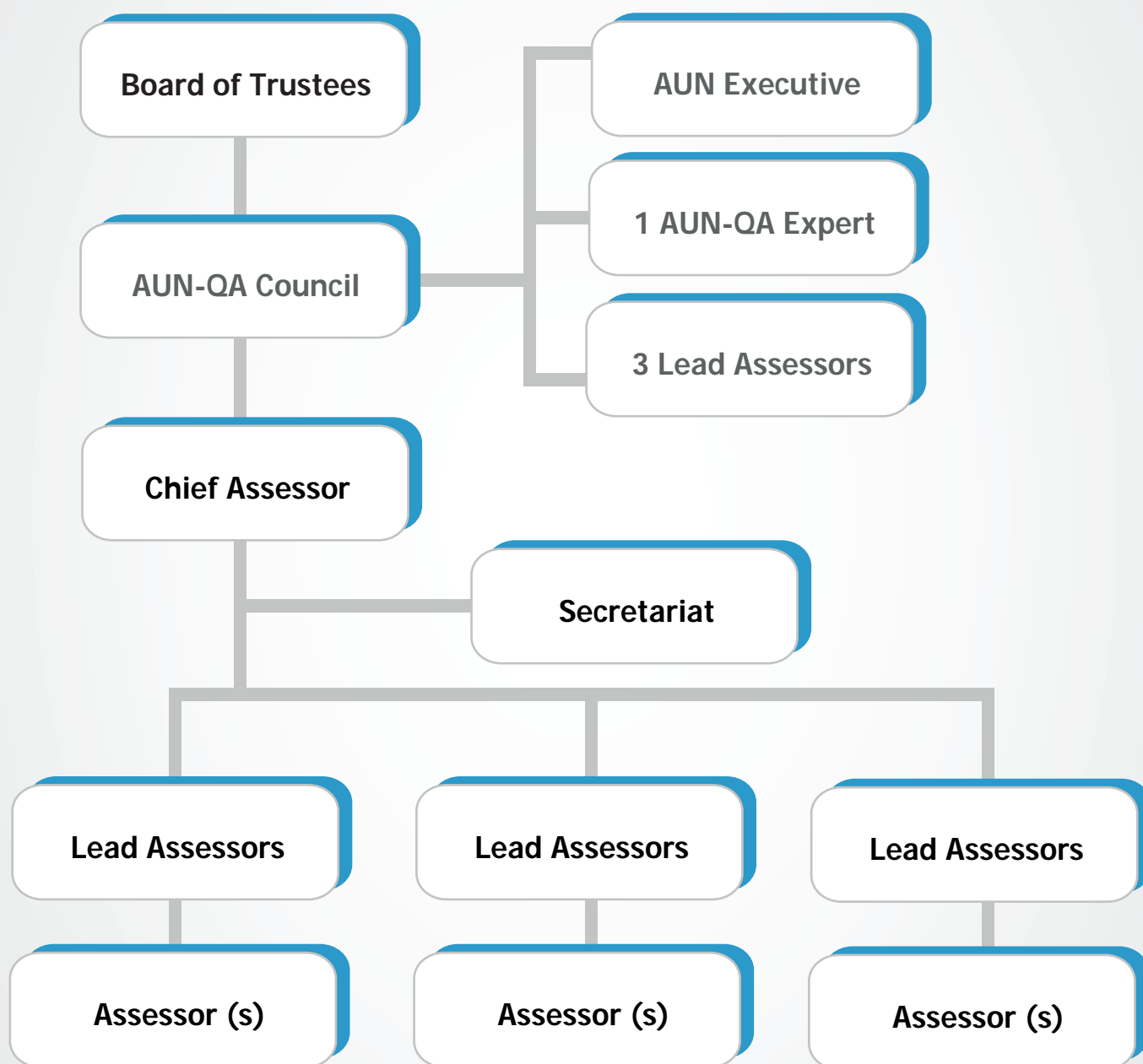
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[1]As of 07 April 2015
[2]4th AUN-BOT Meeting was convened during 18-19 June 1998 in Myanmar
[3]Joint Statement of the 8th ASED Meeting. Retrieved from ASEAN Secretariat website at
http://www.asean.org/images/Statement/2014/Sept/JS%208th%20ASED%20Adopted%2011%20Sept.pdf
[4]Joint Statement of the second ASED+3 Meeting. Refer to paragraph 4, 5, and 12. Retrieved from ASEAN Secretariat website at
http://www.asean.org/images/Statement/2014/Sept/JS%202nd%20APT%20EMM%20Adopted%2012%20Sept.pdf

AUN-QA
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ASEAN **U**niversity **N**etwork

AUN-QA Structure

The AUN-QA network consists of members and associate members, a Quality Assurance (QA) Council, a Secretariat and a pool of assessors, all of whom are governed by the Board of Trustees of the AUN. The organisation of AUN-QA network is in diagram[5] below.

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Board of Trustees                    AUN Executive

                                     1 AUN-QA Expert

AUN-QA Council                       3 Lead Assessors

Chief Assessor

                    Secretariat

Lead Assessors      Lead Assessors      Lead Assessors

Assessor (s)        Assessor (s)        Assessor (s)
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[5]*Guidelines for AUN Quality Assessment and Assessors& Framework of AUN-QA Strategic Action Plan 2012-2015. p. 3, published in June 2014.*

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Current AUN-QA Activities(2000-present)

Type of Activities	Activities	Brief Description	Year Started
Policy Directives	AUN-QA Chief Quality Officers' Meeting	The annual meeting for the Chief Quality Officers among AUN Member Universities to discuss and seek for solutions issues arising from AUN-QA activities, formulate directions and policies, implement the engagement plan with AUN-QA partners and stakeholders, and continue to improve the AUN-QA System and Mechanism	2000 (active)
Assessment	AUN-QA Quality Assessment at Programme Level	The AUN-QA has developed a mechanism to conduct an assessment at programme level. •The AUN-QA Guidelines and Manual was developed and have served well as a tool for AUN member universities to implement and assess their quality and quality assurance system since its first publication in 2004. •The first AUN-QA Quality Assessment was initiated in 2007 at Universiti Malaya, Malaysia. •At the end of 2010, to further enhance and sustain quality assurance practices and quality in higher education, AUN establishes an AUN-QA Documentation Review Committee and Procedure to keep its documents updated and relevant. •The Guide to AUN Actual Quality Assessment at Programme Level was developed and endorsed by the AUN Board of Trustees Meeting in July 2010. The guidebook has been used in AUN-QA practice until nowadays. For more information about AUN-QA Quality Assessment, please see below.	2007 (active)
Capacity Building	AUN-QA Training Course for Accomplishing Programme Assessment (Tier1)	The AUN-QA decides to extend the outreach through other non- Member Universities in the region. The training introduces the AUN-QA System and how to accomplish the programme assessment at programme level. The course aims to provide professionals who are in charge of quality assurance at the programme level and/or institutional level practiced within the university.	2011 (active)
Capacity Building	AUN-QA Assessor Training Workshop (Tier2)	The objective of the Tier 2 training is to equip the trainees (who have completed the Tier 1 Training) with knowledge capable of conducting AUN-QA Quality Assessment.	2013 (active)

[6]see below under Key Publications

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Type of Activities	Activities	Brief Description	Year Started
Capacity Building	ASEAN-QA DIESProject and ASAEN-QA Project Phase II	A joint initiative by 7 Parnters[7] – aims at strengthening the capacity for internal and external quality assurance (QA) through dialogue and training events for ASEAN-QA professionals based on regional standards. Since the project inception, 6 training workshops, utilising the AUN-QA System, were carried out for IQA and EQA. In 2013, the Project has conducted 22 site visits at 21 universities in 10 different countries. In 2014, the project is on the Phase II stage, aiming to further produce the IQA trainees.	Phase I: 2011 – 2013 Phase II: 2014-2016 (active)
Capacity Building	AUN-ADB Project	AUN-QA sees the needs of QA development in Cambodia, Lao PDR, and Myanmar (CLM Countries). With the financial support from Asian Development Bank, AUN-QA has conducted AUN-QA Training in CLM Countries in order to Enhance and strengthen the knowledge on QA system implementation and management and build up pool of qualified University QA personnel team in CLM countries. •The first phase of the project was in 2012 and 6 training workshops were carried out in 3 countries. •The second and current phase (2014-2016) will be to further the QA development in these 3 countries. •The on-site consultancy visits coupled with the trainings will be the key methodology to support the QA system development within the university. •The QA Generic QA Handbook will also being developed and translated into local language, outreaching to the other universities within these targeted countries.	Phase I: 2011 – 2013 Phase II: 2014-2016 (active)

KEY FACTS: AUN-QA Quality Assessment at Programme Level

AUN-QA Quality Assessment at Prorgamme level is the main activities of AUN-QA that have been conducted since 2007. As of 40th AUN-QA Quality Assessment at Universitas Airlangga, **122** programmes in **62** Assessments of **26** Universities (both AUN Members, AUN-QA Associate Members, and non-AUN Members) in **8** ASEAN Countries were assessed by using AUN-QA Criteria.

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[7] *ASEAN Quality Assurance Network (AQAN), ASEAN University Network (AUN), German Academic Exchange Service (DAAD), the European Association for Quality Assurance in Higher Education (ENQA), the German Rectors' Conference (HRK), the Regional Centre for Higher Education and Development in Southeast Asia (SEAMEO-RIHED) and coordinated by the University of Potsdam (Centre for Quality Development – ZfQ)*

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AUN-QA Criteria

Initially developed as 18 Criteria System; in 2011, the system was further revised by the AUN-QA Review Committee in 2010. The Guide to AUN Actual Quality Assessment at Programme Level was proposed by the AUN-Chief Quality Officers' Meeting and endorsed by the AUN Board of Trustees Meeting. The new 15 AUN-QA Criteria is a system being used for AUN-QA Quality Assessment nowadays. As AUN-QA strives to continually improve its system and mechanisms, the revision workshop of The Guide to AUN Actual Quality Assessment at Programme Level will be held in 15 May 2015 to further revise and fine-tunes the Assessment process.

Criteria Table

Old 18-Criteria System	Current 15-Criteria System
1. Goals and objectives; expected learning outcomes	1. Expected learning outcomes
2. Programme specification	2. Programme specification
3. Programme content	3. Programme structure and content
4. Programme organisation	
5. Didactic concept and teaching/ learning strategy	4. Teaching and learning strategy
6. Student assessment	5. Student assessment
7. Staff quality	6. Academic staff quality
8. Quality of support staff	7. Support staff quality
9. Student quality	8. Student quality
10. Student advice and support	9. Student advice and support
11. Facilities and infrastructure	10. Facilities and infrastructure
12. Quality assurance of teaching/ learning process	11. Quality assurance of teaching and learning process
13. Student evaluation	
14. Curriculum design	
15. Staff development activities	12. Staff development activities
16. Feedback stakeholders	13. Stakeholders feedback
17. Output	14. Output
18. Stakeholders satisfaction	15. Stakeholders satisfaction

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Figures 1: No. of AUN-QA Quality Assessment at Programme Level and no. of ASEAN-QA Project Quality Assessment[3]

Legend:
- No. of programmes assessed under AUN
- No. of programmes assessed under ASEAN-QA Project

Data by year:
- 2007: 2
- 2008: 6
- 2009: 7
- 2010: 8
- 2011: 9
- 2012: 5
- 2013: 22 (AUN) + 22 (ASEAN-QA)
- 2014: 27
- 2015: 11
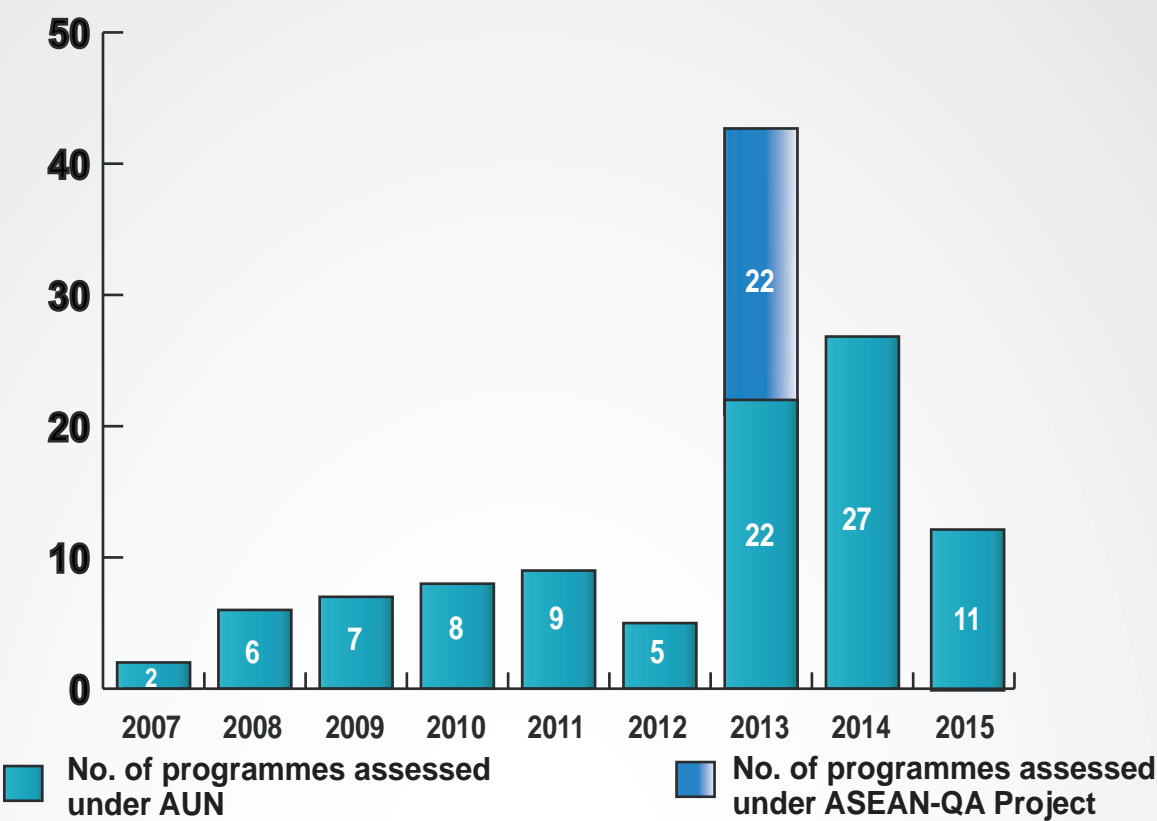
Figures 2: No. of AUN-QA Quality Assessment at Programme Level and no. of programme assessed by country[4]

	Cambodia	Indonesia	Laos	Malaysia	Myanmar	Philippines	Thailand	Vietnam
No. of Assessment	2	20	1	4	1	10	4	18
No. of Programmes Assessed	2	55	1	5	1	20	4	31
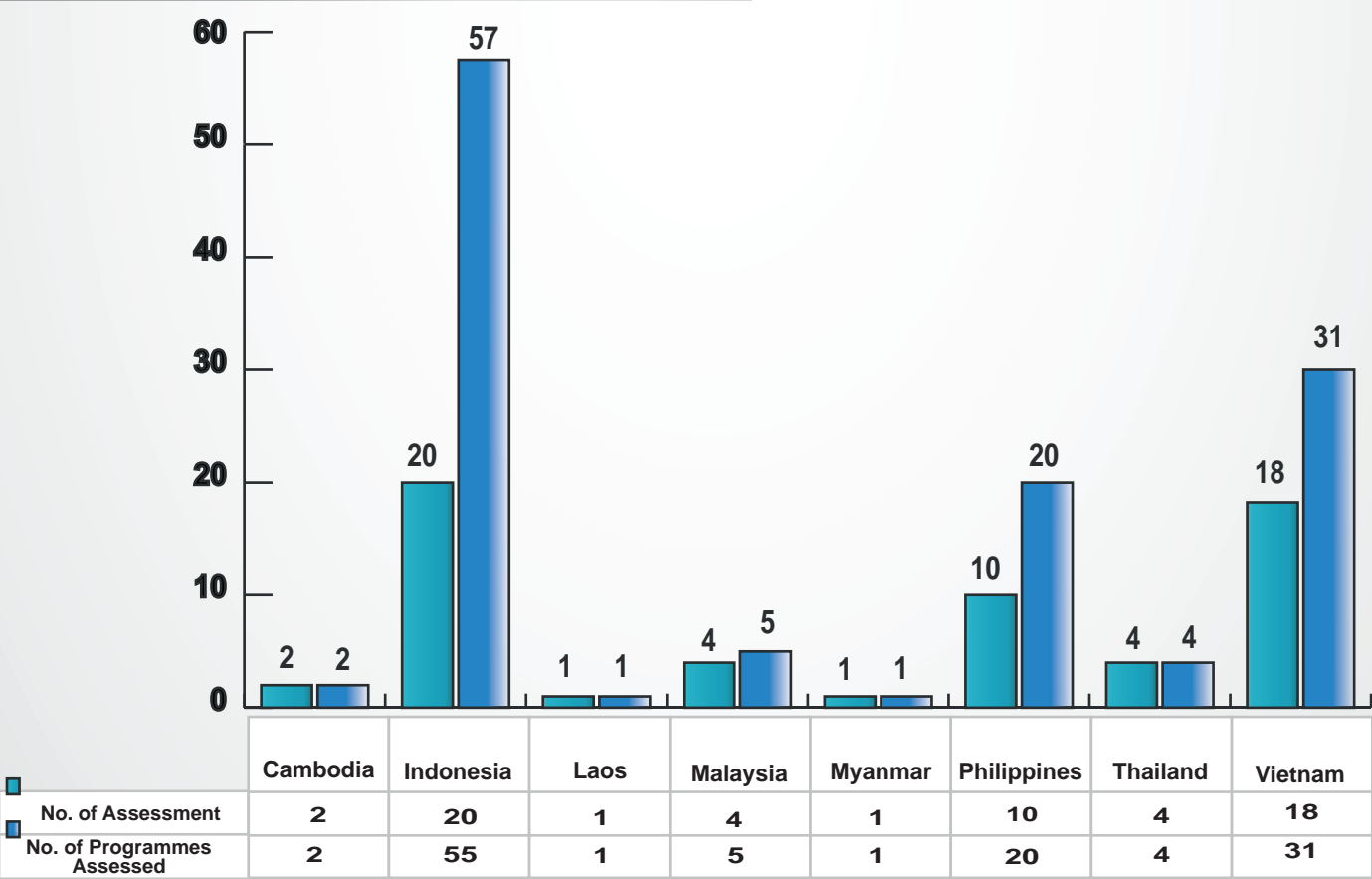
[3] As of 40th AUN-QA Quality Assessment at Programme Level
[4] As of 40th AUN-QA Quality Assessment at Programme Level

AUN-QA Assessors

At the moment, AUN-QA has ever expanding pool of seasoned AUN-QA Trainers, experts, and assessors. We currently have **15** senior assessors and **36** junior assessors from **26** universities in **7** ASEAN Countries (i.e. Indonesia, Lao PDR, Malaysia, the Philippines, Singapore, Thailand, and Viet Nam; are on active duty).

AUN-QA Publications

1   AUN-QA Guideline and Manual

The guideline was jointly written by the Chief Quality Officers and was first published in 2004 to be used as a manual and reference conduct the AUN-QA Actual Quality Assessment at programme level. The book follows the 18-criteria AUN-QA System.

2   AUN-QA Manual for the Implementation of the 2004 Guideline

The manual was published in 2006 to serve as a complement to the guideline of 2004 to help the university to have a better understanding and also how to implement the AUN-QA System.

3   A Guide to AUN-QA Actual Quality Assessment at Programme Level

A Guide to AUN-QA Actual Quality Assessment at Programme Level is a fruit of the AUN-QA on Review Committee coupled with the feedback from Chief Quality Officers. This guidebook is the first revision of the AUN-QA Manual for the Implementation of the Guidelines. It documents the criteria and assessment process of AUN Actual Quality Assessment at Programme Level and provides associated resources including templates and samples in the appendices.

This guidebook finetunes the AUN-QA Guideline and Manual, it follows the 15-criteria AUN-QA System and it is a current reference to AUN-QA Quality Assessment at Programme Level.

4   Guidelines for AUN Quality Assessment and Assessors & Framework for AUN-QA Strategic Action Plan 2012-2015 (V 2.0)

Assessment and Assessors' and Framework of AUN-QA Strategic Action Plan 2012-2015 was made for the ease of references to the audience with an interest in AUN-QA, its system and mechanisms.

The first version of this compilation was published in 2012 while this latest version 2.0, was in publication after the adoption and endorsement by AUN-QA Chief Quality Officers' Meeting 2014 and 30th AUN Board of Trustees Meeting respectively.

All documents available for download at www.aunsec.org

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AUN - Quality Assurance Timeline

1998
AUN-QA initiated by 4th AUN-BOT Meeting

2000
BANGKOK ACCORD on AUN-QA

2000
Chief Quality Officers (CQOs)

2004
AUN-QA Guidelines
Endorsement

2005
Draft AUN-QA Manual
Technical Assistance Under AUNP Support

2006
AUN-QA Manual
Endorsement

2007
AQA Programme Assessment 2007
1st UM, Malaysia

2008
AQA Programme Assessment 2008
2nd DLSU, Philippines
3rd UI, Indonesia
4th ITB, Indonesia

2008
AUN-QA Guidelines Chinese Edition
Endorsement

2008
1st Training of New AUN-QA Assessors

2009
AUN-QA Guidelines Vietnamese Edition was approved by BOT

2009
IAI-QA Training Manual
Endorsement

2009
AQA Programme Assessment 2009
5th UGM, Indonesia
6th VNU-HN, Viet Nam
7th VNU-HCM, Viet Nam

2009
2nd Training of New AUN-QA Assessors

2010
AQA Programme Assessment 2010
8th UI, Indonesia
9th DLSU, Philippines
10th VNU-HN, Viet Nam

2010
IAI-QA Trainings 2010 series
Feb: NUOL, Laos
Apr: RUPP, Cambodia
May: VNU-HCM, Viet Nam

2011
Guide to AUN Actual Quality Assessment at Programme Level
Endorsement

2011
AQA Programme Assessment 2011
11th UGM, Indonesia
12th DLSU, Philippines
13th VNU-HCM, Viet Nam
14th UP, Philippines

2011
AUN-QA Training Course for Accomplishing Programme Assessment
3-6 Oct 11 Bangkok

2011
DAAD ASEAN-QA Training Workshop on QA
Part 1

2012
AUN-QA Workshop and CQOs' Meeting
5-6 Jan 12 Chiang Mai Bangkok

2012
AUN-QA Training Course for Accomplishing Programme Assessment
16-19 Apr 12 Bangkok

2012
DAAD ASEAN-QA Training Workshop on QA
Part 2

2012
AQA programme assessment 2012
14th VNU-HN, Viet Nam
15th UI, Indonesia
16th VNU-HN, Viet Nam

2012
AUN-QA Training Course
4-7 Dec 12 Bangkok

2012
AUN-ADB Project for CLM Countries

2012
DAAD ASEAN-QA Training Workshop on QA
Part 3

2015
DAAD ASEAN-QA Training Workshop on IQA
23-27 Feb 15 KL, Malaysia
Part I

2015
DAAD ASEAN-QA DVC Meeting
16-21 Nov 15

2015
AUN-QA CQOs' Meeting
30-31 March 15 Hanoi, Viet Nam

2015
AUN-QA Training Tier 1
January 2015
April 2015
August 2015

2015
ADB Training

2014
AUN-QA Training Tier 2
November 2015

2015
AQA Programme Assessment 2014
37th IBP, Indonesia
38th UNDIP, Indonesia
39th ITS, Indonesia
40th UNAIR, Indonesia
41st UUM, Indonesia
42nd UGM, Malaysia
43rd UUM, Malaysia
44th UNAIR, Indonesia
45th UB Indonesia
46th CU, Thailand

2014
AUN-ADB Project for CLM Countries
28-31 Jul Cambodia
4-7 Aug Lao PDR
25-28 Aug Myanmar

2014
AUN-QA Training Tier 1
18-21 March 2014
1-4 December 2014

2014
AUN-QA Training Tier 2
13-19 November 2014

2014
AQA Programme Assessment 2014
25th UP, Philippines
26th CU, Thailand
27th IPB, Indonesia
28th VNU-HN, Viet Nam
29th UGM, Indonesia
30th VNU-HCM, Viet Nam
31st CTU, Viet Nam
32nd UGM, Indonesia
33rd DLSU, Phillippines
34th VNU-HCM, Viet Nam
35th UI, Indonesia

2013
AUN-QA Advanced Training Course
Nov 2013 Philippines

2013
AUN-QA Training Course for Accomplishing Programme Assessment
22-25 Apr 13 Bangkok

2013
AQA Programme Assessment 2013
17th IPB, Indonesia
18th VNU-HN, Viet Nam
19th VNU-HCM, Viet Nam
20th UGM, Indonesia
21st UNAIR, Indonesia
22nd DLSU, Philippines
23rd UI, Indonesia
24th VNU-HN, Viet Nam

1998 2000 2004 2005 2006 2007 2008 2009 2010 2011 2012

2015 2014 2013

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